



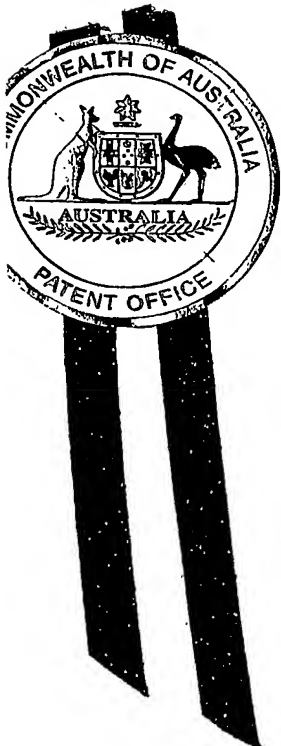
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I, JULIE BILLINGSLEY, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2003903838 for a patent by ORICA AUSTRALIA PTY LTD as filed on 23 July 2003.



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Sixth day of August 2004

*J. Billingsley*

**JULIE BILLINGSLEY  
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**A U S T R A L I A**

**Patents Act 1990**

**PROVISIONAL SPECIFICATION**

for the invention entitled:

**"Aqueous Polymer Dispersion"**

The invention is described in the following statement:

- 1 -

## **AQUEOUS POLYMER DISPERSION**

### **Field of the Invention**

5 The present invention relates to polymeric coating products. In particular, the invention relates to a process for preparing an aqueous dispersion of water insoluble heteropolymeric particles suitable for use in coating products. The invention also relates to the aqueous  
dispersions and their use as binders or thickeners for paints, adhesives, textile coatings,  
carpet backings and construction materials. The aqueous dispersions are particularly  
10 useful in the preparation of paints and accordingly it will be convenient to hereinafter describe the invention with reference to this application, however it is to be understood that the aqueous dispersions can be used in other applications.

### **Background of the Invention**

15

Aqueous dispersions of polymer particles are used extensively in waterborne paint products. A common requirement within typical formulating practices for such waterborne paints is that the use of rheological additives and other modifiers is relied upon to a much greater extent than for their solvent based counterparts. Depending on the end use, it is not  
20 uncommon for waterborne paints to contain more than one additive to provide the balance of viscosities required for application, anti-settling and flow characteristics and to optimise film formation.

Common rheological control additives include thickening agents such as cellulose ethers  
25 and water-soluble gums. Thickening agents of this class often rely on flocculation to impart their thickening effect, which in turn can have detrimental effects on other properties of the paint. Accordingly, paints formulated with such thickening agents can exhibit rheology conducive to easy application, but at the same time demonstrate inferior package homogeneity, leveling, gloss, bonding ability to chalked paint surfaces and  
30 exterior durability.

- 2 -

Other rheological control additives commonly used are the so called alkali swellable acrylic (ASA) thickeners, which are acid containing acrylic latex copolymers. When neutralised with bases such as ammonia, these materials become thick, viscous liquids. In particular, upon being neutralised the particles of acrylic copolymer that make up the thickener absorb water and swell to thereby increase the viscosity of the material. Such materials are typically employed at relatively low levels and can effectively increase the viscosity of paint formulations. However, to achieve their thickening effect the acrylic copolymer particles must be prepared using relatively high levels of acid monomer, the presence of which can render paint films water sensitive. Accordingly, it is generally impractical to incorporate ASA thickeners at high levels in paint formulations or use them as a sole thickener/binder in most applications. Furthermore, this class of thickener is prone to being sensitive to other formulation ingredients such as the addition of water miscible co-solvents associated with tinters or other additives.

15 Rheological control additives play an important role in enabling paints to be formulated with desirable rheological properties. However, the use of such additives, in particular thickening agents, can be problematic in that they can impart undesirable characteristics to the paint and/or the resulting paint film, and increase the complexity of formulating. Considerable research effort has therefore been directed toward developing paint  
20 formulations that provide suitable rheological properties with less reliance on rheological control additives.

One area of research has concentrated on developing means to reduce the amount of thickening agent required in paint formulations. An approach that has met with some success has been to use a specific class of aqueous dispersions of polymer particles which have an alkali responsive thickening effect similar to that of the ASA thickeners. However, unlike the ASA thickeners, these aqueous dispersions have a limited ability to thicken, and they can effectively be used as the sole binder in paint formulations. In particular, the aqueous dispersions are prepared using less acid monomer which on one hand reduces the aforementioned water sensitivity problem, and on the other hand renders the polymer particles with less ability to swell and thereby minimises the thickening effect

of the dispersion.

US Patent No. 3875099 discloses a method for preparing an aqueous dispersion of swellable acrylic copolymer particles. The particles contain 0.5 to 2.5 weight % of an  $\alpha,\beta$ -unsaturated carboxylic acid, and the particular swelling characteristics of the particles are said to result from the controlled introduction of the acid during the polymerisation process. In particular, at least 70 weight % of the acid must be introduced during the process in a manner such that the acid monomer portion is 3 to 15 weight % by weight of all the monomers being concurrently added, such addition further beginning before more than 50 weight % of the non-acid monomers have been introduced and terminating before more than 90 weight % of the non-acid monomers have been introduced.

US Patent No. 4468498 discloses a process for making an aqueous dispersion of swellable water insoluble core/sheath polymer particles. In this case, the particular swelling characteristics of the particles are said to result from an ionic core polymer containing ionisable acid groups. In particular, the core polymer has a composition comprising a non-acid monomer copolymerised with at least 5 weight % of a carboxylic acid monomer, or 15 to 100 weight % of the acid monomer where no other hydrophilic monomer is present, based on the weight of monomers used to form the core polymer. A sheath polymer, which constitutes at least four times the mass of the core polymer and optionally contains low levels of acid monomer, encapsulates the core polymer to thereby form the particles.

As mentioned above, aqueous dispersions that are prepared using lower acid monomer levels, such as those disclosed in US 3875099 and US 4468498, exhibit a reduced thickening effect compared with ASA thickeners, but are more suited for use as a sole binder in paint formulations. Despite such aqueous dispersions exhibiting a reduced thickening effect, the degree of thickening that they can ultimately impart to a given paint formulation nevertheless still needs to be considered carefully. Inadequate swelling of the polymer particles can result in little if no thickening effect being imparted to the formulation, and a relatively large degree of swelling of the polymer particles can render the formulation highly viscous. While it is desirable to increase the viscosity of the

- 4 -

formulation, to date such highly viscous formulations have had limited practical use in coating applications. In particular, highly viscous paint formulations laden with large swollen polymer binder particles typically have poor flow and leveling properties, the effect of which often results in applicator marks, such as brush lines, being retained in the  
5 cured paint film. Gloss properties of a resultant paint film are also typically greatly impaired.

Accordingly, aqueous dispersions of swellable polymer particles suitable for use as sole or primary binders in paint formulations are typically prepared such that the polymer particles  
10 can swell to impart some thickening effect, yet are also restricted from swelling to an extent that would render a formulation highly viscous. The polymer particles disclosed in US 4468498 for example, are prepared such that they comprise a swellable core of concentrated polymeric acid which is encapsulated by a sheath polymer. A crosslinking  
15 monomer is typically included in the core polymer to restrict its flexibility and thereby limit its ability to swell. Paints formulated using such a material as the sole binder are said to require less thickening agent than would typically be required if a conventional acrylic latex binder were used.

The aqueous dispersion of polymer particles disclosed in US 3875099 is prepared such that  
20 the polymer particles comprise a reduced level of acid monomer compared with US 4468498, and generally a considerable amount of hydrophobic monomer. The combined effect of the hydrophobic monomer content and the reduced level of acid monomer is believed to render these polymer particles with a limited ability to swell. Aqueous dispersions of such polymer particles are said to be capable of achieving viscosities of up  
25 to 30,000 centipoises at a solids content of about 50%. Paints formulated using these aqueous dispersions as a sole binder are also likely to require less thickening agent than would typically be required if a conventional acrylic latex binder were used.

Despite the advantage of being able to reduce the amount of thickening agent employed in  
30 paint formulations through use of state of the art aqueous dispersions of swellable polymer particles, it would be desirable to provide an aqueous dispersion of polymer particles that

- 5 -

could be used to prepare versatile paint formulations which required little if no thickening agent. It would also be desirable if such aqueous dispersions could render products, such as paints, with a relatively high viscosity, yet still enable the product to demonstrate good levelling, flow and gloss properties.

5

### Summary of the Invention

The present invention provides a process for preparing an aqueous dispersion of water insoluble heteropolymeric particles comprising polymerising in an aqueous reaction medium ethylenically unsaturated monomers, said ethylenically unsaturated monomers comprising ionisable ethylenically unsaturated monomers and non-ionisable hydrophilic ethylenically unsaturated monomers, to form said heteropolymeric particles dispersed in said aqueous medium,

wherein the monomers in said reaction medium at any point in time comprise less than 5 weight % of ionisable ethylenically unsaturated monomer,

wherein for at least a part of the polymerisation the monomers in said reaction medium have a composition which is maintained with an ionisable ethylenically unsaturated monomer content of between 0.5 to less than 5 weight % throughout polymerisation of at least 30 weight % of the total amount of monomers polymerised to form the heteropolymeric particles,

and wherein the total amount of non-ionisable hydrophilic ethylenically unsaturated monomers and ionisable ethylenically unsaturated monomers polymerised constitutes at least 75 weight % of the total amount of monomers polymerised to form the heteropolymeric particles.

25

Surprisingly, it has now been found that an aqueous dispersion of polymer particles comprising relatively low levels of ionisable monomer and a combined total of at least 75 weight % of hydrophilic and ionisable monomers can be prepared such that the swelling characteristics of the polymer particles can provide aqueous dispersions having high viscosity and good levelling and flow properties. Paints formulated using these aqueous dispersions advantageously require little or no additional rheological control additives, are

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versatile and can attain high viscosity while still demonstrating good levelling, flow and gloss properties.

### **Description of the preferred embodiments**

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As used herein, the term "heteropolymeric" particles denotes particles that are formed from a polymer which is derived from the polymerisation of at least two different monomers. For example, the term "heteropolymeric" particles would include copolymeric and terpolymeric particles.

10

As used herein, the term "ionisable" ethylenically unsaturated monomers denotes ethylenically unsaturated monomers that have functional groups which can be ionised to form cationic or anionic groups. Such functional groups will generally be capable of being ionised under acidic or basic conditions through loss or acceptance of a proton. Generally, the ionisable functional groups are acid groups or basic groups. For example, a carboxylic acid functional group may form a carboxylate anion under basic conditions, and an amine functional group may form a quaternary ammonium cation under acidic conditions. The functional groups may also be capable of being ionised through an ion exchange process.

15

20 The ionisable ethylenically unsaturated monomers are polymerised in accordance with the process of the invention to form the heteropolymeric particles. By this process, ionisable functional groups are incorporated within the polymeric matrix of the heteropolymeric particles. The ionisable groups are believed to in general facilitate swelling/deswelling of the heteropolymeric particles in a manner similar to that which occurs in conventional  
25 swellable polymeric particles. Accordingly, the heteropolymeric particles, comprising for example ionisable acid functional groups, upon being neutralised with a volatile base such as ammonia (ie. ionisation of the acid functional groups), would become more hydrophilic and swell through absorption of water. Conversely, deswelling of the particles is facilitated by the ionised acid functional groups reverting back to their non-ionised form  
30 through, for example, evaporation of the base. In this case, as the particles return to their less hydrophilic state water is expelled from the particles and they begin to deswell.



Examples of suitable ionisable ethylenically unsaturated monomers which have acid groups include, but are not limited to, methacrylic acid, acrylic acid, itaconic acid, p-styrene carboxylic acids, p-styrene sulfonic acids, vinyl sulfonic acid, vinyl phosphonic acid, ethacrylic acid, alpha-chloroacrylic acid, crotonic acid, fumaric acid, citraconic acid, mesaconic acid and maleic acid. Examples of suitable ionisable ethylenically unsaturated monomers which have basic groups include, but are not limited to, 2-(dimethyl amino) ethyl and propyl acrylates and methacrylates, and the corresponding 3-(diethylamino) ethyl and propyl acrylates and methacrylates. The required basic groups could also be formed *in situ* by post reaction of functional monomers such as glycidyl methacrylate with a suitable amine co-reactant, typically a secondary amine. Alternatively, post-reaction of functional monomers with an amino acid can be used to generate an ionisable acid group. It will be appreciated by those skilled in the art that numerous other suitable two stage reaction sequences could be used to provide the required basic or acid groups.

15

As used herein the term "non-ionisable" hydrophilic ethylenically unsaturated monomers denotes ethylenically unsaturated monomers that do not have ionisable functional groups. In particular, such monomers do not have acid groups or basic groups which can loose or accept a proton under acidic or basic conditions. Apart from being non-ionisable, these monomers are also hydrophilic. By "hydrophilic" is meant a monomer which has a solubility in water of at least 5 g/L.

20

Examples of suitable non-ionisable hydrophilic ethylenically unsaturated monomers include, but are not limited to, methyl methacrylate, ethyl acrylate, vinyl acetate, methyl acrylate, propyl acrylate, isopropyl methacrylate, hydroxy ethyl methacrylate, hydroxy propyl methacrylate. A particularly preferred non-ionisable hydrophilic ethylenically unsaturated monomer for use in the present invention is ethyl acrylate.

25

Aqueous dispersions prepared in accordance with the process of the present invention are capable of attaining viscosities of greater than 100,000 centipoises at a 50 % solids content. Surprisingly, dispersions with such high viscosities have been found to exhibit

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- 8 -

good flow and levelling properties. The dispersions also have a low ionisable monomer content and can advantageously be used as a sole binder in paint formulations without the need for additional thickening agents. Paints formulated with the dispersions exhibit good flow and levelling properties, and most notably can exhibit high gloss properties.

5

Without wishing to be bound by theory, it is believed that the advantageous rheological properties of the dispersion are derived primarily from unique swelling and deswelling characteristics of the heteropolymeric particles. In particular, it is believed that such heteropolymeric particles have the ability to readily swell and deswell at a rate faster than

10 conventional swellable polymer particles.

15

The unique swelling/deswelling characteristics of the heteropolymeric particles are in turn reflected in the ability of the aqueous dispersions to readily undergo an increase or decrease in viscosity at a rate faster than conventional aqueous dispersions of swellable polymer particles... Accordingly, an aqueous dispersion of heteropolymeric particles prepared in accordance with the present invention, comprising for example ionisable acid functional groups, upon being neutralised with a base such as ammonia, can become thick and viscous relatively quickly. Conversely, such a thick and viscous dispersion, upon loss of the base through evaporation, can undergo a relatively fast decrease in its viscosity to

20 enable the dispersion to flow more readily.

25

The responsive rheological properties of the dispersions prepared in accordance with the present invention provide for two important practical advantages. The first of these relates to the aforementioned ease and rate at which the dispersions attain an increase in viscosity. In particular, the dispersions, upon ionisation of the ionisable function groups, can reach a maximum viscosity quickly without having to be heated. Accordingly, both time and energy required to promote a viscosity increase in the dispersions are reduced.

30

The second advantage relates to the aforementioned ease and rate at which the dispersions can achieve a decrease in viscosity. In particular, as a result of such properties, the dispersion may be prepared so as to develop higher viscosities than would generally be

considered practical. The highly viscous nature of these dispersion can therefore be used advantageously, while good flow and levelling properties of the dispersion can still be attained through a subsequent reduction in its viscosity. Importantly, the reduction in viscosity occurs before the dispersion begins to cure and develop a skin.

5

The aforementioned advantages are equally applicable, and possibly more relevant, to paint formulations comprising the dispersions. Paint formulations having high viscosities are particularly advantageous in that they can be more easily transferred by a brush or roller and are less susceptible to dripping during transfer. However, to date such highly viscous formulations have been impractical due to their inherent poor flow and levelling properties. Paint formulations comprising dispersions prepared in accordance with the present invention can however be highly viscous, yet still provide good flow and levelling properties. In particular, a paint formulation comprising the dispersion as a sole binder, and for example comprising ionisable acid functional groups, can be rendered thick and viscous without the need for additional thickening agents upon being neutralised with a base such as ammonia. When applied to a substrate, evaporation of the base facilitates a reduction in the paints viscosity before it has time to form a skin. The reduction in viscosity is sufficient to enable the paint to flow and level to thereby form a film substantially free of brush marks and the like.

20

In accordance with the process of the present invention, the ethylenically unsaturated monomers are polymerised in an aqueous reaction medium to form the aqueous dispersion of water insoluble heteropolymeric particles. The polymerisation may be performed using emulsion, suspension or dispersion polymerisation. Preferably the polymerisation is an emulsion polymerisation. Preferably the polymerisation is a free radical polymerisation.

25

The aqueous dispersions are particularly suited to being prepared using emulsion polymerisation, and accordingly it will be convenient to hereinafter describe the invention with reference to this polymerisation technique. However, it is to be understood that the aqueous dispersions can be prepared using other polymerisation techniques.

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- 10 -

An emulsion polymerisation system will typically comprise water, monomer, surfactant and initiator. The emulsion polymerisation process generally commences by dispersing monomer (organic phase) in the water (aqueous phase), with the aid of the surfactant, to provide an emulsion. The initiator, which is usually soluble in the continuous aqueous  
5 phase, provides a source of the free radicals that initiate polymerisation. The dispersed organic phase provides monomer to propagating polymer chains which in turn form small polymer particles. During formation, and in a final form, the polymer particles are stabilised from coalescence by the surfactant. The polymerisation process therefore .. provides as a product an aqueous dispersion of polymer particles.

10

As previously mentioned, the presence of ionisable functional groups within the polymeric matrix of the heteropolymeric particles is believed to facilitate swelling/deswelling of the .. particles. However, the mere presence of the groups alone is not believed to provide the particles with their unique swelling/deswelling characteristics. Without wishing to be  
15 bound by theory, other factors such as the amount and distribution of the ionisable functional groups within the heteropolymeric particles, and the amount of non-ionisable hydrophilic monomer used to prepare the particles, are also believed to play an important role in providing the particles with their unique properties.

20 Given that dispersions prepared in accordance with the process of the present invention have specific compositional requirements, the manner in which the monomers are introduced during the process is an important feature of the process. In particular, the monomers should be introduced such that there is less than 5 weight %, preferably no more than 4 weight %, more preferably no more than 3 weight % of the ionisable monomers  
25 present in the aqueous reaction medium at any point in time. By being "present in the aqueous reaction medium", the monomers are considered to be available for polymerisation and to act as the source of monomers which are polymerised to form the heteropolymeric particles. Accordingly, by there being less than 5 weight % of ionisable monomer present in the reaction medium at any given point in time, the resulting  
30 heteropolymeric particles can only comprise less than 5 weight % of polymerised ionisable monomer residues.

The ionisable monomer may be introduced at any point during the process, in one portion or progressively and/or in stages, provided that for at least a part of the polymerisation the monomers in the aqueous reaction medium have a composition which is maintained with an ionisable monomer content of between 0.5 to less than 5 weight %, preferably between 1 to less than 5 weight %, more preferably between 2 to less than 5 weight %, throughout polymerisation of at least 30 weight %, preferably at least 55 weight %, more preferably at least 75 weight %, of the total amount of monomers polymerised to form the heteropolymeric particles. By this process requirement, the heteropolymeric particles are prepared such that they have at least a 30 weight % portion which comprises between 0.5 to less than 5 weight % of polymerised ionisable monomer residues.

During the part of the polymerisation process where the monomer composition in the reaction medium is maintained with an ionisable monomer content of between 0.5 to less than 5 weight %, the specific overall monomer composition does not necessarily need to be maintained. This process requirement will be satisfied provided the ionisable monomer content is maintained within the defined range. Accordingly, during this part of the polymerisation the ionisable monomer content in the reaction medium may vary between 0.5 to less than 5 weight %, and the composition of other monomers present in the reaction medium can also vary.

Preferably, the amount of ionisable monomer introduced during the process provides for heteropolymeric particles comprising between about 0.5 to less than 5 weight %, more preferably between about 1 and about 4 weight %, most preferably between about 2.5 and about 3 weight % of polymerised ionisable monomer residues.

The non-ionisable hydrophilic monomers may be introduced at any point during the process, in one portion or progressively and/or in stages, provided that the combined amount of non-ionisable hydrophilic and ionisable monomers introduced, and subsequently polymerised, constitutes at least 75 weight %, preferably at least 80 weight %, more preferably at least 85 weight % of the total amount of monomers polymerised to

form the heteropolymeric particles. Given that the heteropolymeric particles formed can only comprise less than 5 weight % of polymerised ionisable monomer residues, by this process requirement the particles must comprise more than 70 weight % of polymerised non-ionisable monomer residues.

5

In addition to the ionisable and non-ionisable hydrophilic monomers, hydrophobic monomers having a solubility in water of less than 5 g/L may also be introduced during the process to thereby contribute to the formation of the heteropolymeric particles. Preferably, the total amount of hydrophobic monomers polymerised constitutes no more than 20 weight %, more preferably no more than 10 weight %, most preferably no more than 5 weight % of the total amount of monomers polymerised to form the heteropolymeric particles. Examples of suitable hydrophobic monomers include, but are not limited to, styrene, alpha-methyl styrene, butyl acrylate, butyl methacrylate, amyl methacrylate, hexyl methacrylate, lauryl methacrylate, stearyl methacrylate, ethyl hexyl methacrylate, crotyl methacrylate, cinnamyl methacrylate, oleyl methacrylate, ricinoleyl methacrylate, vinyl butyrate, vinyl tert-butyrate, vinyl stearate and vinyl laurate.

15

It is preferable to introduce at least some hydrophobic monomer during the polymerisation process. The inclusion of hydrophobic monomer has been found to be useful in improving the balance of thickening and the flow of paint compositions derived from the dispersions following application of the paint.

20

The monomers introduced may further include monomers with more than one reactive polymerisable group as crosslinking agents. Examples of suitable polyfunctional monomers include, but are not limited to, glycerol propoxy triacrylate, glycerol propoxy trimethacrylate, ethylene glycol dimethacrylate, trimethylolpropane triacrylate and trimethylolpropane trimethacrylate. The crosslinking agent may be added in an amount of 0 to 2%, more preferably 0.001 to 1% by weight of the total amount of monomers polymerised to form the heteropolymeric particles.

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Alternative methods of providing controlled crosslinking may be through the introduction

- 13 -

of polymerisable monomers that contain a functional group which can react with a complementary coreacting functional monomer such as an acid monomer, hydroxy monomer, or combinations of these monomers. Such monomers include, but are not limited to, 2-isocynoethyl methacrylate, N-methyl acrylamide, and glycidyl methacrylate or acrylate. Those skilled in the art will appreciate the diverse range of crosslinking reactions and reagents that can be used.

In considering the amount, type and stage at which monomers are introduced and subsequently polymerised in accordance with the process of the present invention, it is important to appreciate that the resulting heteropolymeric particles need to be capable of absorbing water and ionising or neutralising agents (eg. ammonia). Accordingly, at least a portion of the surface of the particles or outer composition of the particles should be permeable to water and ionising or neutralising agents. The presence of some ionisable and/or non-ionisable hydrophilic polymerised monomer residues in these outer regions of the particles has been found to assist in the penetration of water and ionising or neutralising agents into the inner composition of the particles.

The polymerisation will usually require initiation from a source of free radicals. The source of initiating radicals can be provided by any suitable method of generating free radicals, such as the thermally induced homolytic scission of suitable compound(s) (thermal initiators such as peroxides, peroxyesters, or azo compounds), the spontaneous generation from monomers (e.g. styrene), redox initiating systems, photochemical initiating systems or high energy radiation such as electron beam, X- or gamma-radiation. The initiator ideally should also have the requisite solubility in the reaction medium.

25

Thermal initiators are chosen to have an appropriate half life at the temperature of polymerisation. These initiators can include, but are not limited to, one or more of the following compounds:

30      2,2'-azobis(isobutyronitrile), 2,2'-azobis(2-cyanobutane), dimethyl 2,2'-azobis(isobutyrate), 4,4'-azobis(4-cyanovaleric acid), 1,1'-

azobis(cyclohexanecarbonitrile), 2-(t-butylazo)-2-cyanopropane, 2,2'-azobis{2-methyl-N-[1,1-bis(hydroxymethyl)-2-hydroxyethyl]propionamide}, 2,2'-azobis[2-methyl-N-(2-hydroxyethyl)propionamide], 2,2'-azobis(N,N'-dimethyleneisobutyramidine) dihydrochloride, 2,2'-azobis(2-amidinopropane) dihydrochloride, 2,2'-azobis(N,N'-dimethyleneisobutyramidine), 2,2'-azobis{2-methyl-N-[1,1-bis(hydroxymethyl)-2-hydroxyethyl]propionamide}, 2,2'-azobis{2-methyl-N-[1,1-bis(hydroxymethyl)-2-ethyl]propionamide}, 2,2'-azobis[2-methyl-N-(2-hydroxyethyl)propionamide], 2,2'-azobis(isobutyramide) dihydrate, 2,2'-azobis(2,2,4-trimethylpentane), 2,2'-azobis(2-methylpropane), t-butyl peroxyacetate, t-butyl peroxybenzoate, t-butyl peroxyneodecanoate, t-butylperoxy isobutyrate, t-amyl peroxy-pivalate, t-butyl peroxy-pivalate, diisopropyl peroxydicarbonate, dicyclohexyl peroxydicarbonate, dicumyl peroxide, dibenzoyl peroxide, dilauroyl peroxide, potassium peroxydisulfate, ammonium peroxydisulfate, di-t-butyl hyponitrite, dicumyl hyponitrite.

15

Photochemical initiator systems are chosen to have the requisite solubility in the reaction medium and have an appropriate quantum yield for radical production under the conditions of the polymerisation. Examples include benzoin derivatives, benzophenone, acyl phosphine oxides, and photo-redox systems.

20

Redox initiator systems are chosen to have the requisite solubility in the reaction medium and have an appropriate rate of radical production under the conditions of the polymerisation. These initiating systems can include, but are not limited to, combinations of the following oxidants and reductants:

25

oxidants: potassium, peroxydisulfate, hydrogen peroxide, t-butyl hydroperoxide.

reductants: iron (II), titanium (III), potassium thiosulfite, potassium bisulfite.

30

Other suitable initiating systems are described in recent texts. See, for example, Moad and Solomon "the Chemistry of Free Radical Polymerisation", Pergamon, London, 1995, pp



53-95.

Preferred initiating systems are those which are appreciably water soluble. Suitable water soluble initiators include, but are not limited to, 4,4'-azobis(cyanovaleric acid), 2,2'-  
 5 azobis{2-methyl-N-[1,1-bis(hydroxymethyl)-2-hydroxyethyl]propionamide}, 2,2'-  
 azobis[2-methyl-N-(2-hydroxyethyl)propionamide], 2,2'-azobis(N,N'-  
 dimethyleneisobutyramidine), 2,2'-azobis(N,N'-dimethyleneisobutyramidine)  
 dihydrochloride, 2,2'-azobis(2-amidinopropane) dihydrochloride, 2,2'-azobis{2-methyl-N-  
 10 [1,1-bis(hydroxymethyl)-2-ethyl]propionamide}, 2,2'-azobis[2-methyl-N-(2-  
 hydroxyethyl)propionamide], 2,2'-azobis(isobutyramide) dihydrate, and derivatives  
 thereof.

Preferably, the aqueous reaction medium will contain the initiator. In addition, the  
 aqueous reaction medium may also contain other additives, for example additives to  
 15 regulate pH.

When the process of the present invention is performed using emulsion polymerisation  
 techniques, a surfactant or stabilising agent is generally required. The type of stabilising  
 agent will depend on a number of factors such as the monomer composition used and the  
 20 temperature at which the polymerisation is conducted. Preferred stabilising agents include  
 anionic surfactants, such as sodium dodecyl sulfate, nonyl phenol ethoxylate sulfate, alkyl  
 ethoxylate sulfates, alkyl sulfonates, alkyl succinates, alkyl phosphates, alkyl carboxylates,  
 and other alternatives well known to those skilled in the art. Other stabilising agents  
 include polymeric stabilisers, cationic surfactants or non-ionic surfactants.

25

The ability to the control of the size and composition of polymer particles during an  
 emulsion polymerisation is generally an important issue. In particular, both the size and  
 the composition of the particles can influence the properties of the resulting emulsion.  
 Techniques for establishing such control are generally well known in the art. For example,  
 30 the type and amount of surfactant and the distribution of the surfactant in the  
 polymerisation are known to be influencing factors.

- 16 -

One approach to controlling particle size and composition is known as a 'seed stage' technique. In this technique a small amount of the monomer to be polymerised is added to the reactor in the presence of some surfactant and the polymerisation is initiated to form an  
 5 initial crop of 'seed' particles. These particles are then grown by the further addition of monomer and initiator, the process of which is generally supported by the addition of further surfactant. In particular, the seed particles are preferably grown in a manner so as to avoid the tendency to form additional particles during the course of the polymerisation that are derived from a monomer composition which is different from that used to form the  
 10 original seed particles. By attempting to grow the particles in such a uniform way, the resulting dispersions will also generally exhibit uniform properties.

The process of forming these additional particles is often called secondary or new nucleation, and there occurrence may be detected by a second particle population measured  
 15 using standard particle sizing techniques such as Capillary Hydrodynamic Fractionation (CHDF).

For dispersions prepared in accordance with the present invention, it has been found that maximum thickening efficiency can be attained when substantially all particles, or at the  
 20 least the majority of particles, have substantially the same composition and size. In the process of the present invention, particles are generally being formed in a sequential polymerisation process where monomers are not only being fed at different stages but the composition of the monomer feed is often deliberately different. Accordingly, in order to obtain maximum thickening efficiency, the uniform growth of the polymer particles will be  
 25 an important process parameter to consider.

Without wishing to be bound by theory, the thickening mechanism associated with the dispersions of the present invention is believed to operate through an excluded volume effect. In other words, upon neutralisation of the ionisable groups within the particles,  
 30 water may be absorbed into the particles from the continuous aqueous phase. By this process, the particles undergo an increase in volume and therefore occupy a greater

- 17 -

proportion of the entire volume of the dispersion. The net effect of this being that the amount of 'free' water in the aqueous phase is reduced and the viscosity of the dispersion correspondingly increases.

5 As mentioned to above, thickening efficiency of the dispersions of the present invention is believed to relate to the uniformity in size of the polymer particles. It is also believed that the actual size of the particle influences the thickening efficiency of the dispersions. In particular, it is believed that a maximum thickening efficiency can be obtained from dispersions having a large number of small particles rather than a fewer number of larger  
10 particles. However, this thickening efficiency must also be balanced against other rheology attributes required by the dispersion for specific applications. For example, it may be that by maximising the thickening efficiency of a given dispersion, products prepared from that dispersion may exhibit a higher thickening effect than is practical for its intended application. Accordingly, the particle size of the polymer in dispersions prepared  
15 in accordance with the present invention should be tailored to suit the rheological requirements intended application for which the dispersion is to be used. In practice, an average particle size of the polymer particles is preferably below about 200nm.

The monomers may be utilised in monomeric form, or in the form of prepolymers. For  
20 example, the ionisable monomers may be introduced in a prepolymerised form, either in the form of a pre-homopolymer or as a pre-copolymer with one or more of the non-ionisable hydrophilic monomers.

The aqueous dispersion of the present invention may be prepared via a single  
25 polymerisation step or the polymerisation may be conducted sequentially. By conducting a sequential polymerisation the particles can be conveniently prepared with regions or sections having different compositions. Where sequential polymerisation is used, it is often convenient to refer to the resulting heteropolymeric particles as having a core/sheath type structure. As used herein, the term "core" refers to the internal region the  
30 heteropolymeric particles, and the term "sheath" refers to the outer regions. While the core/sheath terminology may imply that the particles are composed of two separate

- 18 -

structural components, of possibly different composition, it is to be understood that the particles may not have this exact structure and that the distinction between the core and the sheath may not be as precise as suggested by this terminology.

- 5 The core component of the particles will generally be prepared in a single stage or step of a sequential polymerisation, as would the sheath component. However, the core component may also be prepared using a plurality of steps in sequence, followed by the preparation of the sheath component using a series of sequential steps as well.
- 10 The aqueous dispersion of the present invention is preferably prepared using sequential polymerisation. In this case, it is also preferable that the resulting heteropolymeric particles comprise sections or regions having different polymeric compositions. For example, the core section may comprise one polymeric composition and the sheath section another. The formation of heteropolymeric particles having a structure comprising at least  
15 two different polymeric compositions has been found to be a particularly effective way of tailoring the rheological properties of the dispersions.

In a preferred embodiment of the present invention, monomers are introduced in a first feed to provide for a core section of the heteropolymeric particles, followed by a  
20 subsequent introduction of monomers in a second feed to provide for a sheath section. By "first" and "second" feeds is meant an introduction of monomers that collectively provide for the core and sheath sections, respectively. The feeds themselves may be conducted such that monomers are introduced concurrently, sequentially and/or in stages.

- 25 Ionisable monomers may be included in both the first and second feeds. However, as the amount of ionisable monomer in the first feed is reduced, the resulting aqueous dispersions have been found to provide paint films that exhibit increased water resistance. Reducing the ionisable monomer content in the first feed has also been found to lower the net viscosity increase that can be attained by the dispersion upon neutralisation. Accordingly,  
30 the amount of ionisable monomer included in the first feed can be selected depending upon the desired properties and intended use of the resulting aqueous dispersion. Where the

- 19 -

dispersions are to be used in paint formulations, it is generally preferred that the first feed comprises little if no ionisable monomer. Preferably, the first feed would include less than 20 weight % of the total ionisable monomer. Preferably, the first feed comprises monomers which constitute at least 10 weight %, more preferably at least 30 weight %, most preferably from 30 to 50 weight % of the total amount of monomers that are polymerised to form the heteropolymeric particles.

Hydrophobic monomer may be included in both the first and second feeds. Preferably, the hydrophobic monomer is only included in the second feed. The inclusion of polymerised hydrophobic monomer in the heteropolymeric particles increases the hydrophobic character of the particles and therefore reduces their swelling capacity. The amount and distribution of polymerised hydrophobic monomer residues in the particles therefore needs to be balanced against the desired rheological properties of the resulting aqueous dispersion.

As with the ionisable functional groups, the polymerised non-ionisable hydrophilic monomer within the heteropolymeric particles is also believed to play an important role in influencing the swelling/deswelling characteristics of the particles. However, unlike the ionisable functional groups, the polymerised non-ionisable hydrophilic monomer residues constitute a significant proportion of the heteropolymeric particles. The portion of the polymer derived from these monomers is believed to be particularly important with regard to imparting sufficient hydrophilic character to the particles to enable at least some water and neutralising agent to enter and penetrate into the particles to promote ionisation. Preferably, polymerised non-ionisable hydrophilic monomer units are distributed throughout the entire particle composition and are therefore included in both first and second feeds.

In addition to variations in the type and distribution of polymerised monomers within the heteropolymeric particles, variation in the molecular weight of the polymerised monomers has also been found to influence the rheological properties of the aqueous dispersions. This effect has been found to be complex and without wishing to be bound by theory, is

- 20 -

believed to arise due to the way in which the internal forces within the particle are balanced and respond to the small change in pH which naturally occurs in the early stages of film drying. In particular, a small change in pH is believed to produce a large change in the ability of water to remain within the interior of the particle. Such a pH change is also  
5 believed to cause shrinkage of the particle and a reduction in the viscosity of the drying film and therefore enhanced flow. Notably, this behaviour appears to be favoured by the presence of a proportion of low molecular weight material formed during the first stage of the process. The degree of difference between the weight average molecular weights of polymeric compositions formed from polymerisation of monomers in the first and second  
10 feeds can therefore be selected to target and maximise flow and appearance of the final film coating.

Means for polymerising monomers to provide for polymeric compositions of different molecular weight are well known in the art. One way in which this can be achieved is by  
15 simply performing the polymerisation in the presence of different amounts of initiator. For example, a higher amount of initiator can be introduced to promote polymerisation of monomers of the first feed compared with that introduced to promote polymerisation of monomers of the second feed. By this regime, the polymeric composition derived from monomers of the second feed will have a higher molecular weight than the polymeric  
20 composition derived from monomers of the first feed. Accordingly, the initiator is preferably introduced such that the weight average molecular weight of the polymeric composition formed from polymerisation of monomers of the first feed is lower than that of the polymeric composition formed from polymerisation of monomers of the second feed.

25

Variation in the molecular weight of the polymerised monomers can also be achieved through use of a crosslinking agent. To promote a higher weight average molecular weight of the polymeric composition formed from polymerisation of monomers of second feed, the crosslinking agent is preferably included in the monomer composition of the second  
30 feed. Sufficient crosslinking agent is added to promote the desired increase in molecular weight. Preferably, the crosslinking agent is added in amounts ranging from about 0.1 to

- 21 -

about 2 weight %, more preferably ranging from about 0.4 to about 1 weight %, of the total amount of monomers in the second feed.

The aqueous dispersions of the present invention have the ability to thicken upon being neutralised with a neutralising or ionising agent. Thickening can be achieved by adding a suitable neutralising agent to the dispersion following polymerisation, or it can be achieved by adding the dispersion to an aqueous composition comprising a suitable neutralising agent. Examples of agents which may be used to neutralise acid ionisable groups include alkali metal bases, such as NaOH, KOH, Na<sub>2</sub>CO<sub>3</sub> and NaHCO<sub>3</sub>, ammonium hydroxide, alkaline earth metal bases such as Ca(OH)<sub>2</sub>, or lower aliphatic amines, such as trimethylamine and triethylamine. Examples of agents which may be used to neutralise basic ionisable groups include mineral acids, such as HCl, H<sub>2</sub>SO<sub>4</sub>, HNO<sub>3</sub> and H<sub>3</sub>PO<sub>4</sub> and organic acids, such as acetic acid, lactic acid, formic acid, citric acid and oxalic acid.

As mentioned above, aqueous dispersions prepared in accordance with the present invention have responsive rheological properties. Such properties are particularly advantageous where products comprising the dispersions are to be packaged for sale in a thickened state. In particular, products such as paints can be conveniently thickened at the point of packaging through addition of an appropriate neutralising agent. Such agents can be added to the paint as part of the normal addition of paint ingredients. The addition of the neutralising agent(s) may be done as a single addition at the final stage of the paint formulation process. Alternatively, a portion of the neutralising agent may be added during an early stage of the formulation process to accelerate the timing of the finished paint composition attaining its final viscosity.

25

The aqueous dispersions of the present invention are particularly suited for use in film forming products such as paints. As will be appreciated by those skilled in the art, the ability of an aqueous dispersion of polymer particles to form a film is closely related to the glass transition temperature (T<sub>g</sub>) of the polymer particles. The T<sub>g</sub> of the particles is in turn related to the type and amount of monomers that are polymerised to form the particles.

30

- 22 -

Polymers contained in conventional coating products that are designed to form a film under ambient conditions will generally have a Tg that does not exceeding 40°C. Polymers having Tg's greater than 40°C are generally considered to be too hard to enable adequate film formation. For coating products containing polymers that have Tg's  
5 approaching 40°C, film formation will generally be assisted by addition of coalescing aids or solvents of low water miscibility which aid film formation by plasticising the polymer particles. The Tg of a given polymer will generally be formulated by balancing the level of hard monomer such as methyl methacrylate against the soft monomer such as ethyl or butyl acrylate. For coatings containing polymers that have lower Tg's, film formation can  
10 generally proceed without the assistance of a coalescent.

For dispersions in accordance with the present invention, the capacity of the polymer particles to absorb water and swell has been found to advantageously assist in the film forming capacity of the dispersion. In particular, after neutralisation water is believed to  
15 reside within the inner volume of the particle and increase the mobility of the polymer chains relative to each another, an effect which is believed to be an important requirement during film formation. Through this inherent property of the particles, the dispersions can surprisingly be prepared using polymers having Tg's approaching and exceeding 40°C while still maintaining an ability to form a film without the assistance of a coalescent.

20

Under some conditions, use of a conventional coalescing solvent may be preferred to accelerate the initial neutralisation step. An important feature of the neutralisation step is that the neutralising agent is able to diffuse freely and preferably rapidly into the heteropolymer particles. A coalescing solvent has been found to be particular suitable for  
25 accelerating this process. Under these conditions, the coalescent acts simply as an accelerant and can therefore be used at a much lower level than would traditionally be used if it were to function as a coalescent.

An alternative way in which the neutralisation step may be accelerated is through the use  
30 of heat. Heating the dispersion to a temperature above the formulated Tg of the polymer can also increase the mobility of polymer chains and allow the neutralising agent to diffuse



- 23 -

into the interior of the particle.

The process of the present invention conveniently enables the Tg of the heteropolymeric particles to be tailored through varying the Tg of the polymer composition formed by polymerisation of monomers of the first feed relative to the Tg of the polymer composition formed by polymerisation of monomers of the second feed. The first feed composition can advantageously be formulated to provide polymers which have a broad range of Tg's. In particular, the first feed composition can be formulated to provide polymers which have Tg's well above that generally utilised in conventional film forming dispersions. Compositions where the first feed formulation provides polymers which have a Tg of about 70°C show surprising swelling and thickening behaviour and have a beneficial impact on film properties such as blocking resistance (ie. the tendency of two film surfaces to adhere together after coming into close contact). Where the first feed composition is formulated to provide such a high Tg, it may be necessary to formulate the second feed to provide polymers which have a Tg that is preferably below ambient temperature. The use of such a second feed formulation has been found to assist in accelerating the neutralisation step.

In some applications, such as in an adhesive, it may be desirable to use a dispersion that contains a polymer with a low Tg. Aqueous dispersions prepared in accordance with the present invention comprising polymer particles that have a low Tg will generally swell readily on neutralisation and it may be that the extent of swelling will need to be restricted. In this case, swelling may be restricted by simply formulating the dispersions with less ionisable monomer, or by crosslinking the polymer composition through use of a crosslinking agent

The Tg of the polymer composition formed from polymerisation of monomers of the first feed preferably ranges from about -50°C to about 100°C, more preferably from about 10°C to about 70°C, most preferably from about 10°C to about 50°C. The Tg of the polymer composition formed from polymerisation of monomers of the second feed preferably ranges from about -50°C to about 100°C, more preferably from about -10°C to about 70°C,

- 24 -

most preferably from about 0°C to about 40°C.

Conventional thickening agents used in coating products are typically water soluble or water dispersible polymers and are sometimes hydrophobically modified. The chemical  
5 nature of the thickener is often very different to that of the film forming material and can therefore introduce problems of compatibility. The inclusion conventional thickening agents in paint compositions can also introduce water sensitive residues within the film, the effect of which can often generate a tacky film surface.

10 In gloss paint formulations, conventional thickening agents are often responsible for compromising the gloss obtained from the final film. In this case, thickener residues become involved in a range of complicated associations within the structure of the film often involving the pigment particles themselves. These associations are undesirable and can result in premature flocculation of the pigment and reduced gloss. As well as a  
15 reduction in the measured gloss, premature flocculation of the pigment can result in the early cessation of flow after application of the paint, and effect which can greatly reduce smoothness of the formed film and result in the presence of unsightly brush marks.

Aqueous dispersions prepared in accordance with present invention can be used as a sole  
20 or primary binders in paint formulations without the need for additional thickening agents. The lack of conventional thickening agents in paint formulated with these dispersions has advantageously been found to improve the gloss properties of films derived from the paint.

The aqueous dispersions of the present invention may be used as a thickener or binder in a  
25 paint. In such an application the dispersions are added to or combined with conventional paint additives or components to provide a paint base or composition of the required characteristics. Examples of suitable paint additives, in addition to the binder, include thickeners, antifungal agents, UV absorbers, extenders, pigments etc. Some of these additives may be precombined with the aqueous dispersions before incorporation into the  
30 paint composition. The aqueous dispersions may also be used as binders or thickeners for adhesives, textile coatings, carpet backings and construction materials. In these

- 25 -

applications the dispersions may be combined with additives and components known in the art.

Accordingly, in another aspect of the present invention there is provided a method of  
5 preparing a paint comprising combining conventional paint additives with the aqueous dispersion of water insoluble heteropolymeric particles prepared in accordance with the process of the present invention. The aqueous dispersion may be thickened through use of a neutralising agent either before or after it is combined with the paint additives. Preferably, the aqueous dispersion is thickened after it is combined with the paint additives  
10 to thereby increase the viscosity of the paint.

The unique rheological properties of aqueous dispersions prepared in accordance with the present invention are believed to be derived from the amount and distribution of polymerised ionisable monomer residues, and the amount of polymerised non-ionisable  
15 hydrophilic monomers present in the heteropolymeric particles.

Accordingly, in a further aspect of present invention there is provided an aqueous dispersion of water insoluble heteropolymeric particles, said heteropolymeric particles comprising polymerised ionisable ethylenically unsaturated monomers and polymerised  
20 non-ionisable hydrophilic ethylenically unsaturated monomers, wherein the ionisable ethylenically unsaturated monomer residues are present in an amount of less than 5 weight % throughout the polymeric composition of the heteropolymeric particles, wherein a segment which represents at least 30 weight % of the heteropolymeric particles has a polymeric composition which comprises no less than about 0.5 weight % and less  
25 than 5 weight % of polymerised ionisable ethylenically unsaturated monomers, based on the weight of the segment, and wherein the combined amount of polymerised ionisable ethylenically unsaturated monomer and non-ionisable hydrophilic ethylenically unsaturated monomers constitute at  
30 least 75 weight % of the heteropolymeric particles.

- 26 -

In order to facilitate an understanding of the invention reference will be made to the accompanying examples which illustrate some preferred aspects of the invention. However it is to be understood that the particularity of the following description is not to supersede the generality of the invention hereinbefore described.

5

## EXAMPLES

**Example 1: Dispersion formulated with a 30:70 weight ratio of first and second feeds and where the first feed has a Tg of 50°C and the second 15°C. A crosslinking**  
10 **monomer has been included in the second feed.**

An aqueous dispersion of copolymeric particles was made via the following method:

### (i) First Monomer feed

15 A first monomer emulsion was made by adding to a vessel, 135.3g of ethyl acrylate and 266.8g of methyl methacrylate. To the monomer mixture was added 6.4g of 60% solution of Alkanate WH60 (Huntsman Chemicals) in ethanol/water and 138.7g of de-ionised water, and an emulsion formed under shear.

### 20 (ii) Second Monomer feed

A second monomer emulsion was made by mixing, 451.5g of ethyl acrylate, 298.0g of methyl methacrylate, 6.0g glyceryl propoxy triacrylate (Sartomer SR 2090), 33.5g acrylic acid, 42.2g PLEX 6844-0 (Rohm Monomers) 67.0g butyl acrylate, and 40.2g acetoacetoxyethyl methacrylate. To the monomer mixture was added 14.9g of 60%  
25 solution of Alkanate WH60 (Huntsman Chemicals) in ethanol/water and 316.7g of de-ionised water. An emulsion formed under shear.

### (iii) First Initiator Feed

A solution of initiator was made by mixing 62.7g of de-ionised water, 2.6g of ammonium  
30 persulphate, and 0.46g of sodium carbonate.

- 27 -

(iv) Second Initiator Feed

A solution of initiator was made by mixing 73.2g of de-ionised water, 3.1g of ammonium persulphate, and 0.54g of sodium carbonate.

5 (v) Precursor stage

A solution of 902.1g of de-ionised water and 8.36g of 60% solution of Alkanate WH60 were added to a round bottom reaction vessel at 90°C with stirring.

(vi) Monomer seed

10 16.6 weight % of the emulsified first feed was added to the reaction vessel.

(vii) Initiator Spike

A mixture of 12.9g of de-ionised water, 0.38g of ammonium persulphate, and 0.54g of sodium carbonate was added to the reaction vessel.

15

(viii) Polymerisation

The monomer seed was fed into the reaction vessel over a period of 5 minutes. This was immediately proceeded by the addition of the initiator spike. Once temperature was stabilised after exotherm, the first monomer feed and first initiator feed was pumped into  
20 the reaction vessel under stirring over a period of 144 minutes. This is then followed by the second monomer feed and second initiator feed over 168 minutes.

(ix) Dilution and termination

After 312 minutes, 51.6g of de-ionised water was added. After a 15 minutes hold 3.6g tert-butyl perbenzoate was added. Following a further 10 minute hold a solution of 1.7g  
25 sodium erythorbate in 51.6g of de-ionised water was feed into the reaction vessel over a 45 minute period.

30

(x) Post-addition

After a further 10 minutes the latex was cooled. Once the latex temperature was below 60°C 0.32g of the defoamer Bevaloid 4226 (Rhodia) was added. After the temperature was below 40°C, 7.93g of the biocide Acticide MV (Thor Chemicals) was added.

5

The final latex was filtered through 90µm silk collected and found to have the characteristics shown in Table 1.

**Table 1: Characteristics of latex formed from Example 1**

Measured Solids	Coagulum (wt%)	Viscosity Brookfield (cP) 2@20rpm	Dn (nm) CHDF	Dw (nm) CHDF
45.17%	0.033%	62.0	101.7	105.4

10

**Example 2: A modification of Example 1, excluding the use of crosslinking monomer.**

The procedure of Example 1 was repeated, except the monomer composition of the second feed altered to exclude the crosslinking monomer glyceryl propoxy triacrylate (Sartomer SR 2090). The resulting monomer composition of the second feed contained 452.4g ethyl acrylate, 303.1g of methyl methacrylate, 33.5g acrylic acid, 42.2g PLEX 6844-0 (Rohm Monomers) 67.0g butyl acrylate and 40.2g acetoacetoxyethyl methacrylate.

15

**Table 2: Description of products formed in Examples 1 and 2.**

Example	Description	Solids (%nv)
1	GPTA Feed 2	45.17
2	No GPTA	44.71

20

**Example 3: Modification of Example 1 to include the crosslinking monomer uniformly throughout both feed compositions.**

a) An aqueous dispersion of copolymeric particles was made via the following method:

(i) **First Monomer feed**

A first monomer emulsion was made by adding to a vessel, 135.1g of ethyl acrylate and 265.3g of methyl methacrylate. To the monomer mixture was added 6.4g of 60% solution of Alkanate WH60 (Huntsman Chemicals) in ethanol/water and 138.7g of de-ionised water, and an emulsion formed under shear. 16.6% of the emulsified first feed set aside as the monomer seed. To the remainder of the first monomer feed, 1.8g glyceryl propoxy triacrylate (Sartomer SR 2090). was added and shear reapplied.. . .

(ii) **Second Monomer feed**

15 A second monomer emulsion was made by mixing 451.7g of ethyl acrylate, 299.5g of  
methyl methacrylate, 4.2g glyceryl propoxy triacrylate (Sartomer SR 2090), 33.5g acrylic  
acid, 42.2g PLEX 6844-0 (Rohm Monomers) 67.0g butyl acrylate, and 40.2g  
acetoacetoxyethyl methacrylate. To the monomer mixture was added 14.9g of 60%  
solution of Alkanate WH60 (Huntsman Chemicals) in ethanol/water and 316.7g of de-  
20 ionised water. An emulsion formed under shear.

(iii) **First Initiator Feed**

A solution of initiator was made by mixing 62.7g of de-ionised water, 2.6g of ammonium persulphate, and 0.46g of sodium carbonate.

(iv) **Second Initiator Feed**

A solution of initiator was made by mixing 73.2g of de-ionised water, 3.1g of ammonium persulphate, and 0.54g of sodium carbonate.

- 30 -

(v) Precursor stage

A solution of 902.1g of de-ionised water and 8.36g of 60% solution of Alkanate WH60 were added to a round bottom reaction vessel at 90°C with stirring.

5 (vi) Monomer seed

The emulsified monomer seed was added to the reaction vessel.

(vii) Initiator Spike

A mixture of 12.9g of de-ionised water, 0.38g of ammonium persulphate, and 0.54g of  
10 sodium carbonate was added to the reaction vessel.

(viii) Polymerisation.

The monomer seed was fed into the reaction vessel over a period of 5 minutes. This was immediately proceeded by the addition of the initiator spike. Once the temperature was  
15 stabilised after exotherm, the first monomer feed and first initiator feed was pumped into the reaction vessel under stirring over a period of 144 minutes. This was then followed by the second monomer feed and second initiator feed over 168 minutes.

(ix) Dilution and termination

20 After 312 minutes, 51.6g of de-ionised water was added. After a 15 minutes hold 3.6g tert-butyl perbenzoate was added. Following a further 10 minute hold a solution of 1.7g sodium erythorbate in 51.6g of de-ionised water was feed into the reaction vessel over a 45 minute period.

25 (x) Post-addition

After a further 10 minutes the latex was cooled. Once the latex temperature was below 60°C 0.32g of the defoamer Bevaloid 4226 (Rhodia) was added. After the temperature was below 40°C, 7.93g of the biocide Acticide MV (Thor Chemicals) was added.

30 The latex was then filtered through 90µm silk and collected for characterisation and testing.



- 31 -

**Example 4: The procedure of Example 3 was modified so as to add the crosslinking monomer exclusively to the first feed composition.**

- 5 The procedure of Example was 3 repeated, except the monomer composition of the first and second feeds was altered to include all of the crosslinker glyceryl propoxy triacrylate in the first monomer feed only. The resulting monomer composition of the first feed included 134.5g of ethyl acrylate, 261.7g of methyl methacrylate and 6.03g glyceryl propoxy triacrylate (Sartomer SR 2090). The second monomer feed contained 452.4g ethyl  
10 acrylate, 303.1g of methyl methacrylate, 33.5g acrylic acid, 42.2g PLEX 6844-0 (Rohm Monomers), 67.0g butyl acrylate and 40.2g acetoacetoxyethyl methacrylate.

**Example 5: Comparative paint examples showing the impact of latex formulating parameters from Examples 1-4 on paint characteristics. Comparison was made to a**  
15 **conventional generic gloss latex paint.**

**Generic Gloss Latex Paint: R&H GLOSS FORMULA ON PRIMAL BM425M**  
Ref: Rohm & Haas Formulation Advice GTC-124-31

- 20 The following was added in order to high speed mixer whilst stirring.

	Parts by Weight (g)
WATER-GRADE 2	1.72
PROPYLENE GLYCOL	3.43
25 OROTAN 1124	0.42
PROXEL GXL	0.09
TRITON CF-10(90%)	0.34
AMMONIUM HYDROXIDE-25%	0.09
FOAMASTER III	0.09

30

- 32 -

The following was added to high speed stirrer whilst stirring. The mixture was stirred at high speed for 20 minutes.

TIONA RCL 575 26.09

5

Wash down was performed.

WATER-GRADE 2 0.26

10 Wash out was performed.

WATER-GRADE 2	0.60
---------------	------

The following were added in order to mixer. The mixture was stirred for 10 minutes.

15

WATER-GRADE 2	12.69
---------------	-------

PRIMAL BM425M	50.04
---------------	-------

TRITON CF-10(90%) 0.27

TEXANOL 2.05

20 FOAMASTER III 0.09

The following were added in order to mixer. The mixture was stirred for 30 minutes.

ACRYSOL RM-2020NPR 1.29

25	ACRYSOL RM-8W	0.45
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### Physical Properties

**Weight** : 100.000

30 Volume : 76.775 .

**Weight Solids : 52.42**

- 33 -

Volume Solids : 38.58

WPL : 1.303

WPL Solids : 1.770

PVC : 21.48

5

### Experimental Paint Formulations:

Each of the experimental latices produced as examples 1-4 were formulated into thickener free paints. Coalescing solvent was used to achieve the required viscosity development.

10 The paint samples are designated as samples 5/1-5/4 inclusive, with the control generic formulation designated 5/gen.

### Formulation

The following were added in order to mixer, followed by stirring for 5 minutes.

15

	Parts by Weight (g)
WATER-GRADE 2 0.1%	3.67
PROPYLENE GLYCOL	0.77
FOAMASTER III	0.10
20 AMMONIUM HYDROXIDE-25%	0.25
OROTAN 731A	0.52
TERIC 460	0.35

The following was added to high speed mixer whilst stirring.

25

TIONA RCL 575	19.50
---------------	-------

The sides and shaft were washed down and the mixer run at high speed for 20 minutes.

30 WATER-GRADE 2 0.1%	0.30
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- 34 -

The following water stage was added while running at high speed. The mixer was run at high speed for 5 minutes.

5 WATER-GRADE 2 0.1% 1.01

Washout was performed.

WATER-GRADE 2 0.1% 3.55

10 The following were added in order to mixer whilst stirring. The pH was adjusted to pH 8.5 with 25% Ammonium Hydroxide. An adjustment for water was made at end of make-up.

WATER-GRADE 2 8.90  
EXPERIMENTAL LATEX (45.3% NV) 56.00  
15 AMMONIUM HYDROXIDE-25% 0.50  
TERIC 460 0.50  
BEVALOID 4226 0.02  
PROXEL GXL 0.08

20 The following was added slowly with stirring and the mixture stirred for 10 minutes.

PROPYLENE GLYCOL 2.99

25 The following were added separately and slowly with stirring and the mixture was stirred for 10 minutes.

COASOL 0.50  
BEVALOID 4226 0.50

30 The pH was adjusted to pH 9-9.5

- 35 -

AMMONIUM HYDROXIDE-25% 0.00

Physical Properties

- 5 Weight : 100.000  
 Volume : 81.376  
 Weight Solids : 46.19  
 Volume Solids: 33.94  
 WPL : 1.229  
 10 WPL Solids : 1.672  
 PVC : 17.22

Results

- 15 Brookfield Viscosity (cP) data for samples prepared in Example 5 are shown in Table 3....  
 The viscosities of the paint samples were monitored after storage at 25°C.

**Table 3:** Brookfield Viscosity (cP) data for samples prepared in Example 5.

Time (days)	Sample				
	5/gen	5/1	5/2	5/3	5/4
0	19800	21650	32000	29200	35400
3	NT*	140200	244500	206500	274000
7	NT	173500	274200	232500	307000
13	NT	180000	311500	211000	253000
24	NT	173000	225500	218500	277000
32	NT	181000	239000	182500	252500
68	NT	165000	198500	185000	226500

- 20 • NT = Not tested but conventional gloss latex paints typically have a stable viscosity after storage under ambient conditions.

Despite the absence of any conventional external thickener of any type, all of the experimental paints developed high viscosities. The best viscosity stability was obtained from a situation where the crosslinking monomer was included as part of the second feed composition ie sample 5/1.

### Gloss and Flow

The viscosities of the experimental paints would ordinarily be considered impractically high, preventing good levelling and film appearance.

The most critical test for appearance is the assessment of a film applied by brush application to a non-porous panel and allowed to dry in a vertical orientation. The measurement of instrumental gloss is another method of assessment. Both of these methods were used to compare the experimental paints to the film of generic gloss latex paint. Gloss data is shown below in Table 4.

Table 4: Gloss data for samples prepared in Example 5.

Brushed Gloss	Sample				
	5/gen	5/1	5/2	5/3	5/4
20°	26.2	62.0	58.0	55.7	58.9
60°	77.5	81.0	78.2	77.9	78.8

The very low instrumental gloss measurement for the generic gloss latex paint was confirmed by the poor film appearance obtained. Brush marks were very evident in the film.

### Visual Assessment

All films were assessed visually for the extent to which brushmarks were visible in the final film. The films were given the following numerical rating, and results are summarised in Table 5 below.

5= Excellent flow. Almost complete levelling of brush marks

0= Poor flow. brush marks clearly visible.

10 **Table 5:** Brush mark assessment for samples prepared in Example 5.

	Sample				
	5/gen	5/1	5/2	5/3	5/4
<b>Rating</b>	0	5	5	5	5

**Example 6: Latices formulated to include crosslinking monomers of different type.**

#### Example 6(a):

15 An aqueous dispersion of copolymeric particles was made via the following method:

##### (i) First Monomer feed

A first monomer emulsion was made by adding to a vessel, 135.3g of ethyl acrylate and 266.8g of methyl methacrylate. To the monomer mixture was added 6.4g of 60% solution of Alkanate WH60 (Huntsman Chemicals) in ethanol/water and 138.7g of de-ionised water, and an emulsion formed under shear.

##### (ii) Second Monomer feed

25 A second monomer emulsion was made by mixing, 433.1g of ethyl acrylate, 291.3g of methyl methacrylate, 4.2g ethylene glycol dimethacrylate (Rhom Monomers), 33.5g acrylic acid, 42.2g PLEX 6844-0 (Rohm Monomers) 67.0g butyl acrylate, and 67.0g acetoacetoxyethyl methacrylate. To the monomer mixture was added 14.9g of 60%

- 38 -

solution of Alkanate WH60 (Huntsman Chemicals) in ethanol/water and 316.1g of de-ionised water. An emulsion formed under shear.

(iii) First Initiator Feed

- 5 A solution of initiator was made by mixing 62.7g of de-ionised water, 2.6g of ammonium persulphate, and 0.46g of sodium carbonate.

(iv) Second Initiator Feed

- 10 A solution of initiator was made by mixing 73.2g of de-ionised water, 3.1g of ammonium persulphate, and 0.54g of sodium carbonate.

(v) Precursor stage

- 15 A solution of 902.1g of de-ionised water and 8.36g of 60% solution of Alkanate WH60 were added to a round bottom reaction vessel at 90°C with stirring.

(vi) Monomer seed

16.6 weight % of the emulsified first feed was added to the reaction vessel.

(vii) Initiator Spike

- 20 A mixture of 12.9g of de-ionised water, 0.38g of ammonium persulphate, and 0.54g of sodium carbonate was added to the reaction vessel.

(viii) Polymerisation

- 25 The monomer seed was fed into the reaction vessel over a period of 5 minutes. This was immediately proceeded by the addition of the initiator spike. Once temperature was stabilised after exotherm, the first monomer feed and first initiator feed was pumped into the reaction vessel under stirring over a period of 60 minutes. This was then followed by the second monomer feed and second initiator feed over 120 minutes.

30



- 39 -

(ix) Dilution and termination

After 180 minutes, 51.6g of de-ionised water was added. After a 15 minutes hold 3.6g tert-butyl perbenzoate was added. Following a further 10 minute hold a solution of 1.7g sodium erythorbate in 51.6g of de-ionised water was feed into the reaction vessel over a 45  
5 minute period.

(x) Post-addition

After a further 10 minutes the latex was cooled. Once the latex temperature was below 60°C 0.32g of the defoamer Bevaloid 4226 (Rhodia) was added. After the temperature was  
10 below 40°C, 7.93g of the biocide Acticide MV (Thor Chemicals) was added.

The final latex was filtered through 90µm silk and collected.

The procedure of example 6(a) was repeated, except that the second feed composition was  
15 altered to replace the crosslinking monomer ethylene glycol dimethacrylate with other crosslinking monomers with different polarity and functionality. The crosslinking monomers were included on an equivalent of double bonds basis so as to keep the theoretical crosslink density unaltered.

20 **Example 6(b):**

the resulting monomer composition of the second feed contained 430.94g ethyl acrylate, 291.60g methyl methacrylate, 6.06g triethylene glycol dimethacrylate, 33.50g acrylic acid, 42.22g PLEX 6844-0 (Rohm Monomers), 67.00g butyl acrylate, 67.00g acetoacetoxyethyl methacrylate.

25

**Example 6(c):**

the resulting monomer composition of the second feed contained 431.38g ethyl acrylate, 291.75g methyl methacrylate, 5.47g triethylene glycol diacrylate, 33.50g acrylic acid, 42.22g PLEX 6844-0 (Rohm Monomers), 67.00g butyl acrylate, 67.00g acetoacetoxyethyl  
30 methacrylate.

- 40 -

**Example 6(d):**

the resulting monomer composition of the second feed contained 431.45g ethyl acrylate,  
291.77 methyl methacrylate, 1.60g hexanediol dimethacrylate, 33.50g acrylic acid, 42.22g  
PLEX 6844-0 (Rohm Monomers), 67.00g butyl acrylate, 67.00g acetoacetoxyethyl  
5 methacrylate.

**Example 6(e):**

the resulting monomer composition of the second feed contained 430.94g ethyl acrylate,  
291.61g methyl methacrylate, 6.05 ethoxylated (3 moles) trimethylol propane triacrylate,  
10 PLEX 6844-0 (Rohm Monomers), 67.00g butyl acrylate, 67.00g acetoacetoxyethyl  
methacrylate.

**Example 6(f):**

the resulting monomer composition of the second feed contained 429.52g ethyl acrylate,  
15 291.16g methyl methacrylate, 7.92 ethoxylated (6 moles) trimethylol propane triacrylate,  
PLEX 6844-0 (Rohm Monomers), 67.00g butyl acrylate, 67.00g acetoacetoxyethyl  
methacrylate.

**Paint Evaluation**

20

Each of the experimental latices produced as samples 6(a)-(f) were then compared using an  
experimental paint formulation adjusted so as to produce a final paint viscosity more  
typical of conventional waterborne gloss paints.

25 **Formulation:**

The following were added in order to mixer, and the mixture stirred for 5 minutes.

	Parts by Weight (g)
WATER-GRADE 2 0.1%	3.00
30 PROPYLENE GLYCOL	1.00
FOAMASTER III	0.15

- 41 -

AMMONIUM HYDROXIDE-25%	0.25
OROTAN 731A	0.50
TERIC 164	0.64

- 5 The following were added in order to high speed mixer whilst stirring.

TIONA RCL 575	19.50
---------------	-------

The sides and shaft were washed down and the mixer run at high speed for 20 minutes.

10

WATER-GRADE 2 0.1%	0.30
--------------------	------

The letdown stage was added whilst running at high speed, with high speed mixing for 5 minutes.

15

WATER-GRADE 2 0.1%	0.73
--------------------	------

Washout was performed.

20 WATER-GRADE 2 0.1%	1.03
-----------------------	------

The pH was adjust to pH 8.5

WATER-GRADE 2	13.24
25 EXPERIMENTAL LATEX	
(45.3% weight solids)	51.85
AMMONIUM HYDROXIDE-25%	0.60
TERIC 164	0.30
PROXEL GXL	0.08
30 BEVALOID 4226	0.02

- 42 -

The following was added slowly with stirring and the mixture stirred for 10 minutes.

PROPYLENE GLYCOL 5.00

- 5 The following were added separately, slowly and with stirring, and the mixture stirred for 10 minutes.

COASOL 0.95

BEVALOID 4226 0.70

10

The pH was adjusted with the following. The mixture was left stirring for 1 hour whilst covered.

AMMONIUM HYDROXIDE-25% 0.16

15 WATER-GRADE 2 0.1% 0.00

### Physical Properties

20 Weight : 100.000

Volume : 81.741

Weight Solids : 44.94

Volume Solids: 32.76

WPL : 1.223

25 WPL Solids : 1.678

PVC : 17.76

### **Viscosity Development:**

- 30 Brookfield Viscosity (cP) data for samples prepared in Example 6 is shown in Table 6.

**Table 6:** Brookfield Viscosity (cP) data for samples prepared in Example 6.

Days	6(a)	6(b)	6(c)	6(d)	6(e)	6(f)
0	10380	12250	19550	18300	14250	12200
1	19100	20200	30300	34450	20850	16600
10	20150	20700	30250	38600	22350	17600

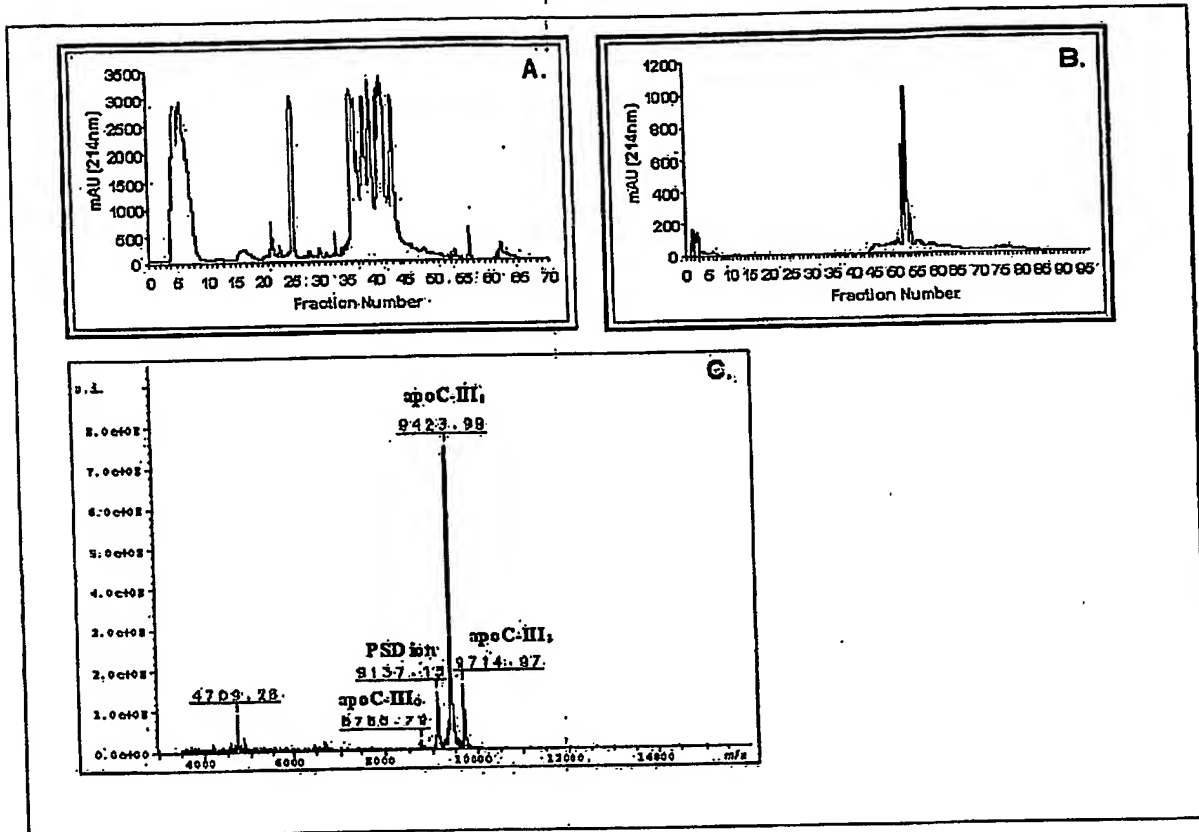
Despite considerable variation of crosslinking monomer structure, all latices produced thickener free paints with good workable viscosities. The films from the paints all gave ..  
 5 excellent flow and film appearance compared to a film derived from commercially available gloss latex paints.

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will  
 10 be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

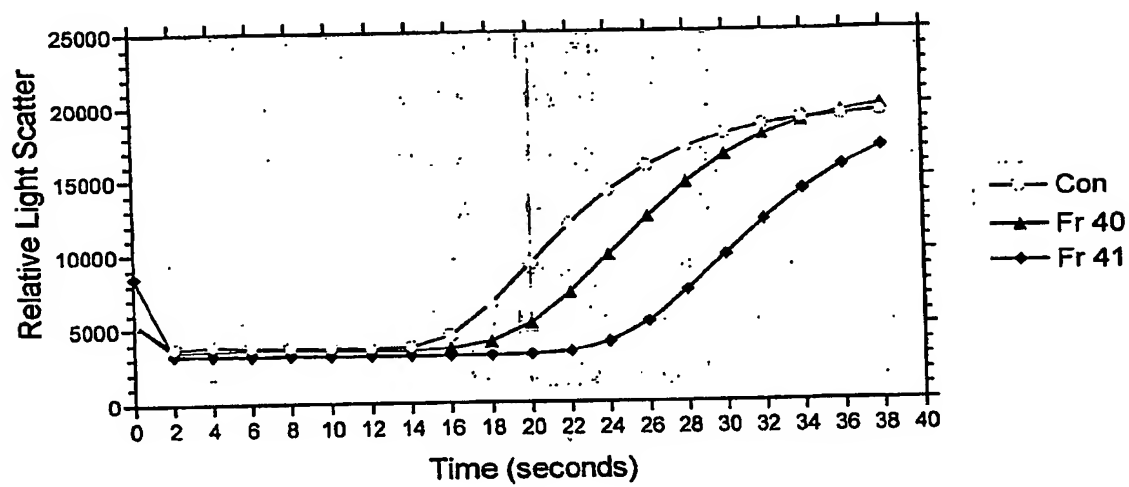
The reference to any prior art in this specification is not, and should not be taken as, an acknowledgment or any form of suggestion that that prior art forms part of the common  
 15 general knowledge in Australia.

Those skilled in the art will appreciate that the invention described herein is susceptible to variations and modifications other than those specifically described. It is to be understood that the invention includes all such variations and modifications which fall within the spirit  
 20 and scope. The invention also includes all of the steps, features, compositions and compounds referred to or indicated in this specification, individually or collectively, and any and all combinations of any two or more of said steps or features.

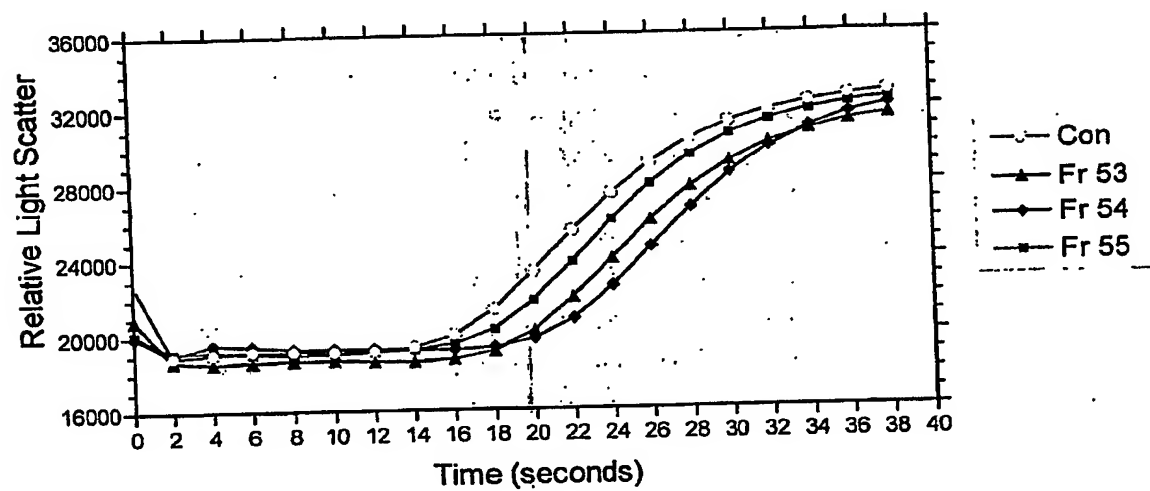
**FIGURE 1**



**FIGURE 2**

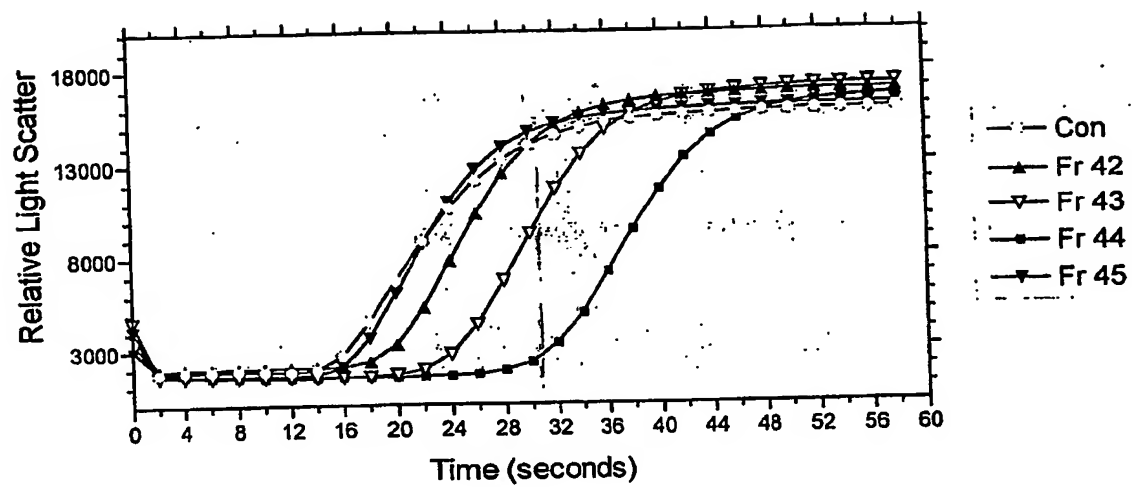


**FIGURE 3**

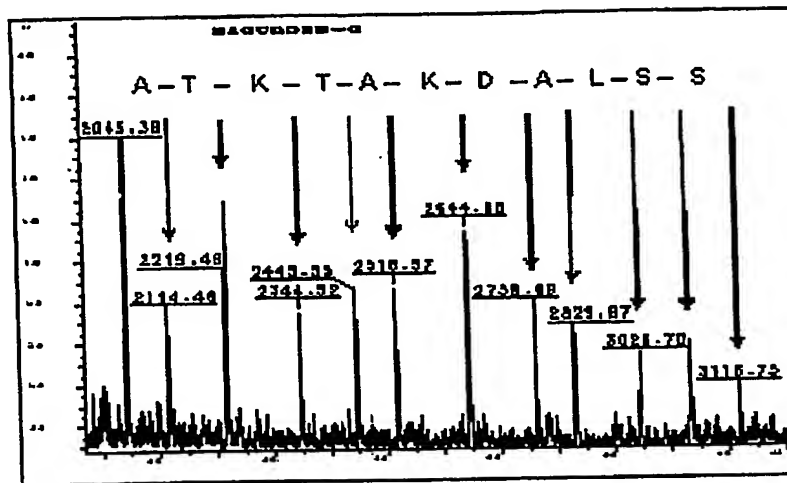




**FIGURE 4**



**FIGURE 5**



Section of the MALDI-MS spectrum obtained from Apolipoprotein CIII. The shown spectrum covers the sequence from Ala<sup>19</sup> to Ser<sup>29</sup>.